# APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”

LIST OF DESIGNATED POSITIONS FOR

OFFICE OF THE COUNTY COUNSEL

<table>
<thead>
<tr>
<th>Designated Position</th>
<th>Disclosure Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assistant County Counsel</td>
<td>1</td>
</tr>
<tr>
<td>Attorney, Child Dependency Unit</td>
<td>3</td>
</tr>
<tr>
<td>Attorney, Office of the County Counsel</td>
<td>1</td>
</tr>
<tr>
<td>Attorney, Impact Litigation Fellow</td>
<td>1</td>
</tr>
<tr>
<td>Attorney, Volunteer</td>
<td>1</td>
</tr>
<tr>
<td>Attorney, Workers’ Compensation</td>
<td>3</td>
</tr>
<tr>
<td>County Counsel Investigator</td>
<td>1</td>
</tr>
<tr>
<td>County Counsel Legal and Compliance Officer</td>
<td>1</td>
</tr>
<tr>
<td>Departmental Fiscal Officer</td>
<td>4</td>
</tr>
<tr>
<td>Director, Independent Defense Counsel Office</td>
<td>1</td>
</tr>
<tr>
<td>E-Discovery Manager</td>
<td>4</td>
</tr>
<tr>
<td>IT Manager</td>
<td>5</td>
</tr>
<tr>
<td>Law Clerk, Impact Litigation Fellow</td>
<td>1</td>
</tr>
<tr>
<td>Management Analyst</td>
<td>4</td>
</tr>
<tr>
<td>Program Manager II – Legal Case Management System</td>
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</tr>
<tr>
<td>Program Manager II – County Counsel Administration</td>
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<tr>
<td>Program Manager III</td>
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<tr>
<td>Senior Management Analyst</td>
<td>4</td>
</tr>
<tr>
<td>Consultant</td>
<td>2</td>
</tr>
</tbody>
</table>
APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”
DISCLOSURE CATEGORIES FOR
OFFICE OF THE COUNTY COUNSEL

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have
received in the last two years, grants or other monies from or through the County; and
sources that receive referrals to provide assessments and/or treatments that are required or
recommended by the County; and (2) all interests in real property in the County of Santa
Clara located entirely or partly within the County, or within two miles of County
boundaries, or of any land owned or used by the County, subject to the following
limitation: the Code Agency for which a consultant works may determine in writing,
following approval by County Counsel as to form and legality, that the particular
consultant is hired to perform a range of duties that is limited in scope and, thus, is not
required to comply with the full disclosure requirements described above, but instead
must comply with more tailored disclosure requirements specific to that consultant. Such
a determination shall include a description of the consultant's duties and, based upon that
description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Office of the County Counsel shall have the
following categories:

**Disclosure Category 3:** Persons in this category shall disclose all investments in,
business positions in, and income (including gifts, loans and travel payments) from:

1. all sources that provide, plan to provide, or have provided in the last two
   years, facilities, goods, software, hardware, or related technology, equipment,
   vehicles, machinery or services, including training or consulting services, to the
   County;

2. all sources that are subject to the regulatory, monitoring, investigatory,
   enforcement, valuation, certification, permit or licensing authority of, or have an
   application for a license, permit or certificate pending before, the County; and

3. all sources that receive, are planning to apply to receive, or have received in
   the last two years, grants or other monies from or through the County; and sources
   that receive referrals to provide assessments and/or treatments that are required or
   recommended by the County.

**Disclosure Category 4:** Persons in this category shall disclose all investments in,
business positions in, and income (including gifts, loans and travel payments) from:

1. all sources that provide, plan to provide, or have provided in the last two
   years, facilities, goods, software, hardware, or related technology, equipment,
   vehicles, machinery or services, including training or consulting services, to the
   Office of the County Counsel; and

2. all sources that receive, are planning to apply to receive, or have received in
   the last two years, grants or other monies (a) from or through the Office of the
   County Counsel, or (b) from or through the County related to the work of the
   Office of the County Counsel.
**Disclosure Category 5:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Office of the County Counsel.