

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT "A"
LIST OF DESIGNATED POSITIONS FOR
ROADS AND AIRPORTS DEPARTMENT

Designated Position	Disclosure Category
Administration	
Director, Roads and Airports Department	1
Deputy Director, Infrastructure Development	1
Deputy Director, Road Maintenance	1
Deputy Director, Roads and Airports - Administration	1
Airports	
Airport Operations Supervisor	4
Assistant Director of County Airports	1
Director of County Airports	1
Roads	
Administrative Support Officer II	4
Administrative Support Officer III	4
Assistant Real Estate Agent	5
Associate Real Estate Agent	5
County Transportation Planner	3
Departmental Fiscal Officer	4
Environmental Health & Safety Compliance Specialist-Roads and Airports	4
IT Manager	6
Junior Real Estate Agent	5
Land Surveyor	3
Management Analyst	4

Designated Position	Disclosure Category
Manager of Construction	3
Principal Civil Engineer – Roads and Airports	4
Principal Construction Inspector	4
Road Operations Superintendent	3
Road Operations Superintendent-Pest Control Advisor	3
Senior Civil Engineer	3
Senior Environmental Compliance Specialist	4
Senior Management Analyst	4
Senior Occupational Safety Specialist	4
Senior Real Estate Agent	5
Senior Systems Administrator	6
Supervising Storekeeper	4
Applicable to Entire Department	
Consultant	2

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EXHIBIT "B" DISCLOSURE CATEGORIES FOR ROADS & AIRPORTS DEPARTMENT

Pursuant to the County of Santa Clara's Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Roads and Airports Department shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Roads and Airports Department;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Roads and Airports Department;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Roads and Airports Department, or (ii) from or through the County related to the work of the Roads and Airports Department; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Roads and Airports Department;
- (2) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Roads and Airports Department; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Roads and Airports Department, or (b) from or through the County related to the work of the Roads and Airports Department.

Disclosure Category 5: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:
 - (a) all sources that engage in the acquisition, disposal, lease, sale, management, appraisal, or financing of facilities or real property of the type utilized by the Roads and Airports Department;
 - (b) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Roads and Airports Department, or (ii) from or through the County related to the work of the Roads and Airports Department; and
- (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 6: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Roads and Airports Department.