

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT "A"
LIST OF DESIGNATED POSITIONS FOR
BEHAVIORAL HEALTH SERVICES DEPARTMENT

| Designated Position | Disclosure Category |
|--------------------------------------------------------|----------------------------|
| Administrative Services Manager | 3 |
| Administrative Support Officer | 3 |
| Behavioral Health Division Director | 3 |
| Behavioral Health Medical Director | 1 |
| Behavioral Health Services Department, Deputy Director | 1 |
| Behavioral Health Services Department Quality Director | 1 |
| Director, Adult/Older Adult System of Care | 1 |
| Director, Analytics and Reporting | 1 |
| Director, Behavioral Health Services | 1 |
| Director, Children, Youth and Family System of Care | 1 |
| Director of Alcohol, Access and Unplanned Services | 1 |
| Director of Research and Outcome Measurement | 3 |
| Employee Assistance Program Manager | 1 |
| Financial Administrative Services Manager | 1 |
| Health Care Program Analyst | 3 |
| Health Program Specialist | 3 |
| Management Analyst | 3 |
| Prevention Program Analyst | 3 |
| Program Manager | 3 |
| Psychiatrist | 4 |
| Psychiatrist-Extra Help-Non Board Eligible | 4 |

| Designated Position | Disclosure Category |
|----------------------------------------------------------|----------------------------|
| Quality Improvement Coordinator – A&D Services | 3 |
| Quality Improvement Coordinator – Mental Health Services | 3 |
| Senior Data Analyst | 3 |
| Sr Health Care Program Analyst | 3 |
| Senior Management Analyst | 3 |
| Senior Research and Evaluation Specialist | 3 |
| Senior Staff Physician II | 3 |
| Utilization Review Coordinator | 3 |
| Consultant | 2 |

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”
DISCLOSURE CATEGORIES FOR

BEHAVIORAL HEALTH SERVICES DEPARTMENT

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or

recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Behavioral Health Services Department shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that are of the type to provide goods, services, facilities, treatment, medications or equipment relating to the treatment, planning, prevention or education of persons suffering from any mental illness or from alcohol or drug use or abuse;

(b) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to the Behavioral Health Services Department;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Behavioral Health Services Department, or (ii) from or through the County of the type managed or administered by the Behavioral Health Services Department; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the Behavioral Health Services Department; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that are health or mental health care providers, facilities, laboratories, clinics or nursing homes, or which provide goods, services, medication, or equipment to, or for the use by, health and mental health care providers;
- (2) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to the County of Santa Clara Health System; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the County of Santa Clara Health System, or (b) from or through the County of the type managed or administered by the County of Santa Clara Health System; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County of Santa Clara Health System.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Behavioral Health Services Department.