

**APPENDIX**

**CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA**

**EXHIBIT “A”**  
**LIST OF DESIGNATED POSITIONS FOR**

**DEPARTMENT OF AGRICULTURE AND ENVIRONMENTAL MANAGEMENT**

<b>Designated Position</b>	<b>Disclosure Category</b>
<b>Administration</b>	
Director, Consumer and Environmental Protection	1
Administrative Services Manager III	3
Administrative Support Officer	6
Agricultural Commissioner/Sealer	1
Departmental Fiscal Officer	3
Deputy Agricultural Commissioner/Sealer	3
Environmental Health and Safety Compliance Specialist	3
IT Manager	7
Senior Management Analyst, Administration	6
<b>Animal Care &amp; Control</b>	
Animal Center Operations Manager	5
Animal Control Officer	5
Animal Services Field Manager	3
Program Manager, Animal Care and Control	3
Senior Animal Control Officer	5
<b>Clean Water Program</b>	
Management Analyst, Clean Water	4
Program Manager, Clean Water	3
Senior Management Analyst, Clean Water	4

<b>Designated Position</b>	<b>Disclosure Category</b>
<b>Household Hazardous Waste</b>	
Associate Management Analyst, Haz Mat	4
Hazardous Materials Program Manager	3
Management Analyst, Haz Mat	4
Senior Management Analyst, Haz Mat	4
<b>Recycling &amp; Waste Reduction Division</b>	
Associate Management Analyst, RWRD	3
Management Analyst, RWRD	3
Senior Management Analyst, RWRD	3
<b>Weed Abatement</b>	
Weed Abatement Inspector	4
Weed Abatement Manager	3
<b>Applicable to Entire Department</b>	
Biologist/Standards Specialist	4
Senior Biologist/Standards Specialist	4
Supervising Hazardous Materials Specialist	3
Consultant	2

**APPENDIX**

**CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA**

**EXHIBIT “B”**  
**DISCLOSURE CATEGORIES FOR**

**DEPARTMENT OF AGRICULTURE & ENVIRONMENTAL MANAGEMENT**

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

**Disclosure Category 1:** Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

**Disclosure Category 2:** Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Department of Agriculture & Environmental Management shall have the following categories:

**Disclosure Category 3:** Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Agriculture and Environmental Management;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Agriculture and Environmental Management;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Department of Agriculture & Environmental Management or (ii) from or through the County related to the work of the Department of Agriculture & Environmental Management; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

**Disclosure Category 4:** Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Agriculture and Environmental Management; and
- (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

**Disclosure Category 5:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Agriculture and Environmental Management.

**Disclosure Category 6:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Agriculture and Environmental Management; and
- (2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Agriculture & Environmental Management or (b) from or through the County related to the work of the Department of Agriculture & Environmental Management.

**Disclosure Category 7:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Department of Agriculture & Environmental Management.