

**APPENDIX**

**CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA**

**EXHIBIT “A”**  
**LIST OF DESIGNATED POSITIONS FOR**  
**DEPARTMENT OF CORRECTION**

<b>Designated Position</b>	<b>Disclosure Category</b>
Chief of Correction	1
Administrative Services Manager III	3
Assistant Director of Food Services	5
Assistant Sheriff-Correctional Operations	3
Correctional Food Services Director	5
Correctional Lieutenant	4
Correctional Support Services Manager	4
Financial and Administrative Services Manager	3
Infrastructure Engineer	6
Inmate Rehabilitation Manager	4
IT Project Manager	6
Management Analyst, Contracts	4
Management Analyst, Inmate Welfare Fund	4
Senior Management Analyst	4
Sheriff’s Correctional Captain	3
Sheriff’s Correctional Lieutenant	4
Consultant	2

**APPENDIX**

**CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA**

**EXHIBIT “B”**  
**DISCLOSURE CATEGORIES FOR**  
**DEPARTMENT OF CORRECTION**

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

**Disclosure Category 1:** Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

**Disclosure Category 2:** Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Department of Correction shall have the following categories:

**Disclosure Category 3:** Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Correction or the Office of the Sheriff;

(b) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Department of Correction or the Office of the Sheriff, or (ii) from or through the County related to the work of the Department of Correction or the Office of the Sheriff; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

**Disclosure Category 4:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Correction or the Office of the Sheriff; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Correction or the Office of the Sheriff, or (b) from or through the County related to the work of the Department of Correction or the Office of the Sheriff.

**Disclosure Category 5:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Correction; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Correction, or (b) from or through the County related to the work of the Department of Correction.

**Disclosure Category 6:** Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructure or architecture, or telecommunications of the type utilized by the Department of Correction or the Office of the Sheriff.