

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR

DEPARTMENT OF ENVIRONMENTAL HEALTH

| Designated Position | Disclosure Category |
|---|----------------------------|
| Administration | |
| Director, Environmental Health Department | 1 |
| Administrative Support Officer | 6 |
| Business Systems Analyst | 6 |
| Data Engineer | 6 |
| IT Manager | 7 |
| Management Analyst | 4 |
| Senior Accountant | 3 |
| Senior Business Systems Analyst | 6 |
| Senior Management Analyst | 4 |
| Consumer Protection Division | |
| Director – Division of Consumer Protection | 3 |
| Environmental Health Program Manager | 3 |
| Environmental Health Specialist | 3 |
| Health Planning Specialist III | 4 |
| Senior Environmental Health Specialist | 3 |
| Supervising Environmental Health Specialist | 3 |
| Hazardous Materials Compliance Division | |
| Director – Division of Hazardous Materials Compliance | 3 |
| Environmental Health Geologist/Engineer | 3 |
| Hazardous Materials Program Manager | 3 |
| Hazardous Materials Specialist | 3 |

| Designated Position | Disclosure Category |
|--|----------------------------|
| Senior Hazardous Materials Specialist | 3 |
| Supervising Hazardous Materials Specialist | 3 |
| Applicable to Entire Department | |
| Consultant | 2 |

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”

DISCLOSURE CATEGORIES FOR

DEPARTMENT OF ENVIRONMENTAL HEALTH

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:
 - (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;
 - (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;
 - (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and
- (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Department of Environmental Health shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Environmental Health;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Environmental Health;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Department of Environmental Health, or (ii) from or through the County related to the work of the Department of Environmental Health; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Environmental Health;

(2) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Environmental Health; and

(3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Environmental Health, or (b) from or through the County related to the work of the Department of Environmental Health.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Environmental Health; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Environmental Health, or (b) from or through the County related to the work of the Department of Environmental Health.

Disclosure Category 6: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Department of Environmental Health.

Disclosure Category 7: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the Department of Environmental Health.