

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR

DEPARTMENT OF PLANNING AND DEVELOPMENT

Designated Position	Disclosure Category
Administration	
Director, Department of Planning and Development	1
Administrative Services Manager	3
Departmental Fiscal Officer	3
Deputy Director, Department of Planning and Development	3
Management Analyst	3
Senior Accountant	3
Senior Management Analyst	3
Senior Systems Administrator	3
Building Inspection Division	
Architectural Plans Examiner	3
Associate Plan Check Engineer	3
Building Inspector	3
Development Services Manager/Building Official	3
Senior Building Inspector	3
Senior Plan Check Engineer	3
Code Enforcement Division	
Code Enforcement Officer	3
Code Enforcement Program Manager	3
Land Development and Engineering	
Assistant Civil Engineer	3
Associate Civil Engineer	3

Designated Position	Disclosure Category
Construction Inspector	3
County Surveyor, Supervisor Surveying and Mapping	3
Engineering Technician III, LDE	3
Junior Civil Engineer	3
Land Surveyor	3
Principal Development Services Engineer	3
Principal Development Services Inspector	3
Senior Civil Engineer	3
Senior Construction Inspector	3
Permit Center	
Program Manager I, Permit Center	3
Planning Division	
Assistant Planner	3
Associate Planner	3
Engineering Geologist	3
Engineering Technician III, Planning	3
Geographic Information Systems Analyst	3
Planning Manager	3
Principal Planner	3
Senior Geographic Information Systems Analyst	3
Senior Planner	3
Applicable to Entire Department	
Consultant	2

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”

DISCLOSURE CATEGORIES FOR

DEPARTMENT OF PLANNING AND DEVELOPMENT

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:
 - (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;
 - (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;
 - (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and
- (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Department of Planning and Development shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Planning and Development;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Planning and Development;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Department of Planning and Development, or (ii) from or through the County related to the work of the Department of Planning and Development; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Department of Planning and Development;
- (2) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, permit or licensing authority of, or have an application for a license or permit pending before, the Department of Planning and Development; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Department of Planning and Development, or (b) from or through the County related to the work of the Department of Planning and Development.

Disclosure Category 5: RESERVED

Disclosure Category 6: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that are subject to the regulatory, monitoring, investigatory or enforcement authority of the County; and
- (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 7: Persons in this category shall disclose all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.