

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR
OFFICE OF THE COUNTY EXECUTIVE

| Designated Position | Disclosure Category |
|--|----------------------------|
| Administrative Services Manager | 3 |
| Administrative Support Officer II | 3 |
| Asset Development Manager | 1 |
| Assistant Director of Communication and Public Affairs | 3 |
| Assistant Director, Office of Diversion and Reentry Services | 1 |
| Associate Budget Analyst | 3 |
| Associate Cyber Security Specialist | 3 |
| Associate IT Security Analyst | 3 |
| Associate IT Security Engineer | 3 |
| Associate Management Analyst, Administration Contracts | 3 |
| Associate Privacy Analyst | 3 |
| Budget Analyst | 3 |
| Budget & Financial Planning Manager | 1 |
| Chief Children’s Officer | 1 |
| Chief Equity and Inclusion Officer | 1 |
| Chief Information Security Officer | 1 |
| Chief Operating Officer | 1 |
| Chief Privacy Officer | 1 |
| Chief Procurement Officer | 1 |
| County Budget Director | 1 |
| County Contracting Policy Analyst | 3 |
| Cyber Security Specialist | 3 |
| Departmental Fiscal Officer | 3 |

| Designated Position | Disclosure Category |
|---|----------------------------|
| Deputy County Executive | 1 |
| Director, Civic and Community Engagement | 1 |
| Director of Communication and Public Affairs | 3 |
| Director, County of Santa Clara Health System | 1 |
| Director, Financial and Business Operations | 1 |
| Director, Learning and Organizational Development | 3 |
| Director, Office of Countywide Contract Management | 1 |
| Director, Office of Diversion and Reentry Services | 1 |
| Director, Office of Strategic and Intergovernmental Affairs | 1 |
| Director, Office of Sustainability | 1 |
| Emergency Planning Coordinator | 1 |
| Employee Wellness Coordinator | 3 |
| Facilities Security Manager | 3 |
| Facilities Security Specialist | 3 |
| Immigrant Services Coordinator | 3 |
| Internal Audit Manager | 3 |
| IT Security Analyst | 3 |
| IT Security Architect | 3 |
| IT Security Engineer | 3 |
| Labor Standards Investigator | 3 |
| Legislative Representative | 1 |
| Management Analyst | 3 |
| Management Analyst, Facilities and Logistics | 1 |
| Management Analyst, Legislative Programs | 1 |
| Management Analyst, Office of Budget and Analysis | 1 |
| Management Analyst, Office of Sustainability | 1 |
| Management Analyst, Pandemic Response | 1 |
| Management Analyst, Senior Administration | 1 |

| Designated Position | Disclosure Category |
|--|----------------------------|
| Manager, Integrated Pest Management | 3 |
| Manager, Office of Immigrant Relations | 3 |
| Manager, Office of LGBTQ Affairs | 3 |
| Principal County Contracting Policy Analyst | 3 |
| Privacy Analyst | 3 |
| Procurement Manager | 3 |
| Program Manager | 3 |
| Program Manager, Legislative Programs | 1 |
| Program Manager, OEM Admin | 1 |
| Program Manager, Redevelopment | 1 |
| Program Manager, Senior Administration | 1 |
| Program Manager, Sustainability | 1 |
| Research and Evaluation Specialist | 3 |
| Senior Budget Analyst | 3 |
| Senior Business Systems Analyst | 3 |
| Senior Cyber Security Specialist | 3 |
| Senior Departmental Fiscal Officer | 3 |
| Senior Emergency Planning Coordinator | 1 |
| Senior Internal Auditor | 3 |
| Senior IT Manager | 3 |
| Senior IT Project Manager | 3 |
| Senior IT Security Analyst | 3 |
| Senior IT Security Architect | 3 |
| Senior IT Security Engineer | 3 |
| Senior Management Analyst | 3 |
| Senior Management Analyst, Legislative Programs | 1 |
| Senior Management Analyst, Office of Budget and Analysis | 1 |
| Senior Management Analyst, Office of Sustainability | 1 |

| Designated Position | Disclosure Category |
|--|----------------------------|
| Senior Management Analyst, Pandemic Response | 1 |
| Senior Management Analyst, Senior Administration | 1 |
| Senior Privacy Analyst | 3 |
| Senior Research and Evaluation Specialist | 3 |
| Special Assistant to the County Executive | 1 |
| Supervising Budget Analyst | 3 |
| Supervising Internal Auditor | 3 |
| Training and Staff Development Manager | 3 |
| Trauma Recovery Center Clinical Director | 1 |
| Whistleblower Investigator | 3 |
| Consultant | 2 |

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EXHIBIT “B”
DISCLOSURE CATEGORIES FOR
OFFICE OF THE COUNTY EXECUTIVE

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or

recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Office of the County Executive shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;
- (2) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County.