

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR
OFFICE OF THE COUNTY EXECUTIVE

Designated Position	Disclosure Category
Administration	
Chief Operating Officer	1
Deputy County Executive	1
Administrative Services Manager I	3
Administrative Services Manager II	3
Administrative Services Manager III	3
Administrative Support Officer II	3
Associate Management Analyst, Administration Contracts	3
Chief Procurement Officer	1
Departmental Fiscal Officer	3
Director, Office of Strategic and Intergovernmental Affairs	1
Director, Santa Clara Valley Health and Hospital System	1
Financial and Administrative Services Manager	3
Internal Audit Manager	3
Management Analyst, Administration	3
Management Analyst, Countywide Programs	3
Management Analyst, Facilities and Logistics	3
Management Analyst, Human Resources	3
Management Analyst, Senior Administration	1
Program Manager, Administration	3
Program Manager, Bail and Release	3
Program Manager, Contracts	3
Program Manager, Countywide Programs	3

Designated Position	Disclosure Category
Program Manager, Facilities Security	3
Program Manager, GARE	3
Program Manager, Senior Administration	1
Research and Evaluation Specialist, Administration	3
Senior Departmental Fiscal Officer	3
Senior Internal Auditor	3
Senior Management Analyst, Administration	3
Senior Management Analyst, Countywide Programs	3
Senior Management Analyst, Human Resources	3
Senior Management Analyst, Senior Administration	1
Supervising Internal Auditor	3
Asset and Economic Development	
Asset Development Manager	1
Chief Information Security Office	
Associate Cyber Security Specialist	3
Associate IT Security Analyst	3
Associate IT Security Engineer	3
Chief Information Security Officer	1
Cyber Security Specialist	3
IT Security Analyst	3
IT Security Architect	3
IT Security Engineer	3
Senior Cyber Security Specialist	3
Senior IT Manager	3
Senior IT Project Manager	3
Senior IT Security Analyst	3
Senior IT Security Engineer	3
Senior Management Analyst, CISO	3

Designated Position	Disclosure Category
Children and Family Policy	
Director, Children and Family Policy	1
Management Analyst, Children and Family Policy	3
Senior Management Analyst, Children and Family Policy	3
Division of Equity and Social Justice	
Chief Equity and Inclusion Officer	1
Management Analyst, Diversity, Equity and Belonging	3
Program Manager, Diversity, Equity and Belonging	3
Program Manager, Equity and Social Justice	3
Research and Evaluation Specialist, Equity and Justice	3
Senior Management Analyst, Diversity, Equity and Belonging	3
Senior Research and Evaluation Specialist, Equity and Justice	3
Employee Wellness	
Employee Wellness Coordinator	3
Program Manager, Employee Wellness	3
Jail Reforms	
Management Analyst, Jail Reforms	3
Program Manager, Jail Reforms	3
Senior Management Analyst, Jail Reforms	3
Learning and Employee Development	
Director, Learning and Organizational Development	3
Management Analyst, Learning and Employee Development	3
Program Manager I, Learning and Employee Development	3
Training and Staff Development Manager	3
Learning Organization	
Management Analyst, Learning Organization	3
Program Manager, Learning Organization	3
Senior Management Analyst, Learning Organization	3

Designated Position	Disclosure Category
Legislative Programs	
Legislative Representative	1
Management Analyst, Legislative Programs	1
Program Manager, Legislative Programs	1
Senior Management Analyst, Legislative Programs	1
Office of Budget and Analysis	
County Budget Director	1
Budget & Financial Planning Manager	1
Budget & Public Policy Analyst	3
Financial and Economic Analyst	3
Management Analyst, Office of Budget and Analysis	3
Principal Budget & Public Policy Analyst	3
Principal Financial and Economic Analyst	3
Senior Management Analyst, Office of Budget and Analysis	3
Office of Countywide Contracting Management	
County Contracting Policy Analyst	3
Principal County Contracting Policy Analyst	3
Office of Cultural Competency	
Associate Management Analyst, Cultural Competency	3
Director, Office of Cultural Competency	3
Management Analyst, Cultural Competency	3
Program Manager II, Competency Framework	3
Senior Management Analyst, Cultural Competency	3
Senior Research and Evaluation Specialist, Cultural Competency	3
Office of Data Oversight, Management & Evaluation	
Management Analyst, Data Oversight	3
Program Manager, Data Oversight	3
Senior Management Analyst, Data Oversight	3

Designated Position	Disclosure Category
Senior Research and Evaluation Specialist, Data Oversight	3
Office of Emergency Management	
Associate Management Analyst, State Homeland Security Grant	5
Management Analyst, Emergency Mgmt Performance Grant	5
Management Analyst, State Homeland Security Grant	5
Program Manager, OEM Admin	5
Senior Emergency Preparedness Planning Coordinator	5
Senior Management Analyst, Emergency Mgmt Performance Grant	5
Office of Gender-Based Violence Prevention	
Associate Management Analyst, Gender-Based Violence Prevention	8
Management Analyst, Gender-Based Violence Prevention	8
Program Manager, Gender-Based Violence Prevention	8
Office of Immigrant Relations	
Associate Management Analyst, Office of Immigrant Relations	6
Immigrant Services Coordinator	6
Management Analyst, Office of Immigrant Relations	6
Manager, Office of Immigrant Relations	6
Senior Management Analyst, Office of Immigrant Relations	6
Office of Labor Standards Enforcement	
Labor Standards Investigator	3
Program Manager, OLSE	3
Office of LGBTQ Affairs	
Associate Management Analyst, LGBTQ Affairs	3
Management Analyst, LGBTQ Affairs	3
Manager, Office of LGBTQ Affairs	3
Program Manager I, Office of LGBTQ Affairs	3
Senior Management Analyst, LGBTQ Affairs	3

Designated Position	Disclosure Category
Office of Mediation and Ombuds Services	
Associate Management Analyst, Human Relations Ombuds	6
Associate Mediator	6
Management Analyst, Human Relations Ombuds	6
Manager, Office of Mediation and Ombuds Services	6
Mediator	6
Program Manager, Mediation and Ombuds	6
Senior Mediator	6
Office of Public Affairs	
Assistant Director of Communication and Public Affairs	3
Director of Communication and Public Affairs	3
Office of Reentry Services	
Director, Office of Reentry Services	9
Management Analyst, Office of Reentry Services	9
Program Manager, Reentry Center Coordinator	9
Program Manager, Reentry Parolee Services Coordinator	9
Program Manager, Reentry Policy Coordinator	9
Senior Management Analyst, Office of Reentry Services	9
Office of Sustainability & Integrated Pest Management	
Director, Office of Sustainability	1
Management Analyst, Integrated Pest Management	4
Management Analyst, Office of Sustainability	1
Manager, Integrated Pest Management	4
Program Manager, Sustainability	1
Senior Management Analyst, Integrated Pest Management	4
Senior Management Analyst, Office of Sustainability	1
Office of Women's Policy	
Associate Management Analyst, OWP	8

Designated Position	Disclosure Category
Associate Management Analyst, Women’s Policy Human Trafficking	8
Management Analyst, OWP	8
Management Analyst, Women’s Policy Human Trafficking	8
Manager, Office of Women’s Advocacy	8
Program Manager, Women & Girls Leadership, OWP	8
Senior Management Analyst, OWP	8
Policies and Procedures	
Management Analyst, Policies and Procedures	3
Senior Management Analyst, Policies and Procedures	3
Privacy	
Associate Privacy Analyst	3
Chief Privacy Officer	3
Privacy Analyst	3
Senior Privacy Analyst	3
Redevelopment	
Program Manager, Redevelopment	1
Vietnamese American Service Center	
Administrative Services Manager I, VASC	3
Program Manager, Vietnamese American Service Center	3
Whistleblower Program	
Whistleblower Investigator	3
Applicable to Entire Department	
Consultant	2

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”
DISCLOSURE CATEGORIES FOR
OFFICE OF THE COUNTY EXECUTIVE

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or

recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, the Office of the County Executive shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;
- (2) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, of the type utilized by the County to address integrated pest management; and
- (2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County to address integrated pest management.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, of the type utilized by the County as part of its emergency preparedness and response efforts; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County related to emergency preparedness and response efforts.

Disclosure Category 6: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, of the type utilized by the County as part of its human relations community outreach, dispute resolution, child welfare ombuds services, and immigration/citizenship assistance; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County related to human relations issues, including but not limited to human relations community outreach, dispute resolution, child welfare ombuds services, immigration, and citizenship assistance.

Disclosure Category 7: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, of the type utilized by the County to address the needs of men and women who served in the Armed Forces of the United States of America, their dependents and survivors; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County to address the needs of men and women who served in the Armed Forces of the United States of America, their dependents and survivors.

Disclosure Category 8: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, of the type utilized by the County to address the needs of women, girls, and their families. Such services include, but are not limited to, those that deal with the elimination or investigation of bias and gender equality and domestic violence prevention and intervention programs within the County; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County to address the needs of women, girls, and their families.

Disclosure Category 9: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide facilities, goods, software, hardware, or related technology, equipment, or services, including training or consulting services, of the type utilized by the County of Santa Clara as part of its strategies for reducing recidivism or linking inmates and ex-offenders to services; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Re-Entry Network or (b) from or through the County of Santa Clara related to its Re-Entry Network efforts.