

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR
SANTA CLARA VALLEY HEALTHCARE

Designated Position	Disclosure Category
Valley Medical Center	
Accounting Manager-SCVHHS	4
Administrative Director, Lab	4
Administrative Services Manager	4
Administrative Support Officer	4
Ambulatory Service Manager	4
Assistant Administrative Director, Lab	4
Assistant Director Food Services	4
Assistant Director of Pharmacy Services	4
Assistant Nurse Manager, SLRH	4
Associate Clinical Laboratory Scientist Systems Specialist	5
Business Systems Analyst	5
Buyer Assistant	4
Cardiovascular Interventional Technologist, OCH	4
Central Supply Distribution Supervisor, Resource Management	4
Central Supply Distribution Supervisor, Sterile Processing	4
Chief Compliance Officer – Health System	1
Chief Dentist	4
Chief Financial Officer SCVH&HS	1
Chief Fiscal Officer – Health Systems	1
Chief Improvement and Innovation Officer	4
Chief Medical Information Officer	1

Designated Position	Disclosure Category
Chief Medical Physicist	4
Chief Nursing Officer	1
Chief Psychologist	1
Chief Radiation Therapist	4
Chief Respiratory Care Practitioner	4
Clinical Administrative Services Manager	4
Clinical Biochemist	4
Clinical Laboratory Scientist Manager, SLRH	4
Clinical Laboratory Scientist Systems Specialist	5
Clinical Microbiologist	4
Clinical Nutrition Services Manager	4
Clinical Research Program Director	4
Clinical Research Program Manager	4
Clinical Risk Prevention Manager	4
Communications Officer	4
Compliance Officer	4
Dental Network Manager	4
Dentist	4
Departmental Fiscal Officer	4
Deputy Director, Coding & Clinical Documentation Improvement	4
Diagnostic Imaging Assistant Director	4
Diagnostic Imaging Info Systems Manager	4
Director, Advanced Practice	1
Director, Ambulatory Care Support Services	4
Director, Ambulatory & Community Health Services	1
Director, Analytics and Reporting	1
Director, Business Development & Managed Care Contracts	1
Director, Clinical & Support Services	1

Designated Position	Disclosure Category
Director, Coding Documentation & Health Info Mgmt Services	4
Director, Contracts – SCVHHS	1
Director, Diversity and Health Equity	1
Director, Financial Planning and Performance	4
Director, General Fund Financial Services	1
Director, Govt. & Public Relations & Spec. Projects	1
Director, Inpatient Acute Care	4
Director, Nursing Acute Psychiatric Services	4
Director, Nursing, Critical Care & Administrative Services	4
Director Nutrition & Food Services	4
Director of Cardiovascular Services	4
Director of Care Management	4
Director of Diagnostic Imaging	4
Director of Marketing – SCVMC	1
Director of Materials Management	1
Director of Medical Social Services	4
Director of Nursing Professional Practice	4
Director of Operational Improvement	4
Director of Patient Access	4
Director of Patient Business Services	1
Director of Pharmacy Services, SCVHHS	4
Director of Primary Care Operations	1
Director of System Integration	4
Director of Therapy Services	4
Director, Primary and Community Health	1
Director, Quality and Utilization, Ambulatory & Community Health/Managed Care	4
Director, Valley Specialty Center	4
Enterprise Fund Budget Manager	4

Designated Position	Disclosure Category
Environmental Health & Safety Compliance Specialist-SCVHHS	4
Financial Analyst I	4
Financial Analyst II	4
Health Care Financial Manager	4
Health Care Service Line Director	1
Health Center Manager	4
Health Information Management Services Manager	4
Healthcare Services Business Dev Analyst	4
Hospital Emergency Preparedness Manager	4
Infection Control Nurse Manager	4
Inpatient Case Manager	4
Institutional Review Board Administrator	4
Interventional Radiology Technologist, OCH	4
IT Manager	5
Management Analyst	4
Manager of Admitting and Registration	4
Manager of Care Management	4
Manager of Licensing and Regulatory Compliance	4
Manager of Medical Social Services	4
Manager Patient Accounting SCVHHS	4
Manager, Rehabilitation Case Management	4
Manager Tech Opr-Art Kidney Unit	4
Managing Dietician	4
Medicine Residency Program Director	1
Multimedia Communications Specialist	4
Nurse Manager Artificial Kidney Unit	4
Nurse Manager Burn Center	4
Nurse Manager Critical Care	4

Designated Position	Disclosure Category
Nurse Manager Emergency Department	4
Nurse Manager Labor Del PEP Lab	4
Nurse Manager Medical Surgical Nursing	4
Nurse Manager Mental Health Nursing	4
Nurse Manager Mother Infant Cr Ctr	4
Nurse Manager Neonatal ICU	4
Nurse Manager Operating Room Services	4
Nurse Manager Pediatrics ICU	4
Nurse Manager Post Anesthesia Care Unit/Ambulatory Surgery	4
Nurse Manager Rehabilitation	4
Optometrist	4
Patient Quality and Safety Medical Director	1
Perioperative Services Medical Director	1
Pharmacist Specialist	4
Physician-VMC, O'Connor	4
Physician-VMC, SLRH	4
Primary Care Medical Director	4
Program Manager	4
Quality Improvement Manager-Amb CHS	4
Quality Improvement Manager- A P Services	4
Quality Improvement Manager-Hosp	4
Quality Improvement Manager Inpt Nrs	4
Rehabilitation Case Manager	4
Revenue Cycle Director	1
SCVHHS Assistant Controller	1
SCVHHS Communications Officer	4
SCVHHS Controller	1
SCVHHS Environmental Services Assistant Director	4

Designated Position	Disclosure Category
SCVHHS Environmental Services Director	4
SCVHHS Environmental Services Manager	4
SCVHHS Reimbursement Manager/Assistant Controller	4
SCVMC Chief Executive Officer	1
SCVMC Chief Medical Officer	1
SCVMC Chief Operating Officer	1
SCVMC-Director of Quality and Safety	1
Sr. Accountant	4
Sr. Business Intelligence Analyst	5
Sr. Clinical Laboratory Scientist	4
Sr. Communications Officer	4
Sr. Data Analyst	4
Sr. Departmental Fiscal Officer	4
Sr. Health Care Financial Analyst	4
Sr. Health Care Program Analyst	4
Sr. Health Care Program Manager	4
Sr. IT Manager	5
Sr. Management Analyst	4
Sr. Training and Staff Development Specialist	4
Specialty Care Medical Director	1
Supervising Clinical Laboratory Scientist, SLRH	4
Supervising Diagnostic Imaging Technologist, SLRH	4
Supervising Respiratory Care Practitioner, SLRH	4
Supervising Pharmacist	4
Supervisor, Health Info Mgmt Svcs	4
Therapy Services Program Manager	4
Training and Staff Development Specialist	4
Trauma Program Manager	4

Designated Position	Disclosure Category
Utilization and Valuation Medical Director	1
Valley Connection Service Center Manager	4
Whole Person Care Medical Director	1
Valley Medical Center – Anesthesia	
Physician-VMC, VMC-Anesthesia	4
Physician-VMC, Extra Help, VMC-Anesthesia	4
Valley Medical Center – Medical Administration	
Physician-VMC, VMC-Medical Administration	4
Physician-VMC, Extra Help, VMC-Medical Administration	4
Valley Medical Center – Medicine	
Physician-VMC, VMC-Medicine	4
Physician-VMC, Extra Help, VMC-Medicine	4
Psychiatrist, VMC-Medicine	4
Psychiatrist-Extra Help-Non Board Eligible, VMC-Medicine	4
Valley Medical Center – Neurology	
Physician-VMC, VMC-Neurology	4
Physician-VMC, Extra Help, VMC-Neurology	4
Valley Medical Center – OB/GYN	
Physician-VMC, VMC-OB/GYN	4
Physician-VMC, Extra Help, VMC-OB/GYN	4
Valley Medical Center – Orthopedics	
Physician-VMC, VMC-Orthopedics	4
Physician-VMC, Extra Help, VMC-Orthopedics	4
Valley Medical Center – Pathology	
Physician-VMC, VMC-Pathology	4
Physician-VMC, Extra Help, VMC-Pathology	4
Valley Medical Center – Pediatrics	
Physician-VMC, VMC-Pediatrics	4

Designated Position	Disclosure Category
Physician-VMC, Extra Help, VMC-Pediatrics	4
Temporary Staff Physician, VMC-Pediatrics	4
Valley Medical Center – PM&R	
Physician-VMC, VMC-PM&R	4
Physician-VMC, Extra Help, VMC-PM&R	4
Valley Medical Center – Primary Care	
Physician-VMC, VMC-Primary Care	4
Physician-VMC, Extra Help, VMC-Primary Care	4
Psychiatrist, VMC-Primary Care	4
Psychiatrist-Extra Help-Non Board Eligible, VMC-Primary Care	4
Temporary Staff Physician, VMC-Primary Care	4
Valley Medical Center – Psychiatry	
Physician-VMC, VMC-Psychiatry	4
Physician-VMC, Extra Help, VMC-Psychiatry	4
Psychiatrist, VMC-Psychiatry	4
Psychiatrist-Extra Help-Non Board Eligible, VMC-Psychiatry	4
Valley Medical Center – Radiation Oncology	
Physician-VMC, VMC-Radiation Oncology	4
Physician-VMC, Extra Help, VMC-Radiation Oncology	4
Valley Medical Center – Radiology	
Physician-VMC, VMC-Radiology	4
Physician-VMC, Extra Help, VMC-Radiology	4
Valley Medical Center – Surgery	
Physician-VMC, VMC-Surgery	4
Physician-VMC, Extra Help, VMC-Surgery	4
Applicable to Entire Department	
Consultant	2

NOTE: Positions in Santa Clara Valley Healthcare-Facilities are listed on separate Exhibits.

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “B”
DISCLOSURE CATEGORIES FOR

SANTA CLARA VALLEY HEALTHCARE

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or

recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, Santa Clara Valley Healthcare shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that are of the type to provide goods, services, facilities, treatment, medications or equipment relating to the treatment, planning, prevention or education of persons suffering from any mental illness or from alcohol or drug use or abuse;

(b) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to the Behavioral Health Services Department;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Behavioral Health Services Department, or (ii) from or through the County of the type managed or administered by the Behavioral Health Services Department; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the Behavioral Health Services Department; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that are health or mental health care providers, facilities, laboratories, clinics or nursing homes, or which provide goods, services, medication, or equipment to, or for the use by, health and mental health care providers;
- (2) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to Santa Clara Valley Healthcare; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through Santa Clara Valley Healthcare, or (b) from or through the County of the type managed or administered by Santa Clara Valley Healthcare; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by Santa Clara Valley Healthcare.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by Santa Clara Valley Healthcare.

Disclosure Category 6: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:
 - (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to Santa Clara Valley Healthcare;
 - (b) all sources that provide land or facility development, construction, management, expansion, or renovation, including but not limited to supplying construction equipment or materials, architecture, design, engineering, land use planning, maintenance, rehabilitation, acquisition, disposal, lease, sale, management or financing of real property, of the type utilized by Santa Clara Valley Healthcare;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through Santa Clara Valley Healthcare, or (ii) from or through the County of the type managed or administered by Santa Clara Valley Healthcare; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by Santa Clara Valley Healthcare; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.