

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”

LIST OF DESIGNATED POSITIONS FOR

SANTA CLARA VALLEY HEALTHCARE – FACILITIES

Designated Position	Disclosure Category
Assistant Manager, Building Operations	6
Biomedical Equipment Supervisor	4
Capital Projects Manager	6
Deputy Director, Facilities Operations	6
Director of Facilities, SCVHHS	6
Maintenance Project Manager	6
Sr. Facilities Engineer/Architect	6
Telecommunications Engineer	5
Telecommunications/Facilities Manager - SCVHHS	6
Consultant	2

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EXHIBIT “B” DISCLOSURE CATEGORIES FOR

SANTA CLARA VALLEY HEALTHCARE– FACILITIES

Pursuant to the County of Santa Clara’s Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or

recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, Santa Clara Valley Healthcare – Facilities shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that are of the type to provide goods, services, facilities, treatment, medications or equipment relating to the treatment, planning, prevention or education of persons suffering from any mental illness or from alcohol or drug use or abuse;

(b) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to the Behavioral Health Services Department;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Behavioral Health Services Department, or (ii) from or through the County of the type managed or administered by the Behavioral Health Services Department; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the Behavioral Health Services Department; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

- (1) all sources that are health or mental health care providers, facilities, laboratories, clinics or nursing homes, or which provide goods, services, medication, or equipment to, or for the use by, health and mental health care providers;
- (2) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to Santa Clara Valley Healthcare; and
- (3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through Santa Clara Valley Healthcare, or (b) from or through the County of the type managed or administered by Santa Clara Valley Healthcare; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by Santa Clara Valley Healthcare.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by Santa Clara Valley Healthcare.

Disclosure Category 6: Persons in this category shall disclose:

- (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:
 - (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, treatment, medications, software, hardware, or related technology, equipment, vehicles, machinery, or services, including training or consulting services, to Santa Clara Valley Healthcare;
 - (b) all sources that provide land or facility development, construction, management, expansion, or renovation, including but not limited to supplying construction equipment or materials, architecture, design, engineering, land use planning, maintenance, rehabilitation, acquisition, disposal, lease, sale, management or financing of real property, of the type utilized by Santa Clara Valley Healthcare;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through Santa Clara Valley Healthcare, or (ii) from or through the County of the type managed or administered by Santa Clara Valley Healthcare; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by Santa Clara Valley Healthcare; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.