

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT “A”
LIST OF DESIGNATED POSITIONS FOR
TECHNOLOGY SERVICES AND SOLUTIONS

Designated Position	Disclosure Category
Chief Information Officer	1
Associate Chief Information Officer	1
Associate IT Vendor Manager	4
Business Relationship Manager	3
Business Systems Analyst	4
Chief Healthcare Technology Officer	1
Chief Information Technology Business Officer	1
Chief Technology Officer	1
Deputy Chief Information Technology Business Officer	1
Director, Information Technology	1
Enterprise Architect	3
Financial Analyst I	4
Financial Analyst II	4
Information Architect	3
Infrastructure Engineer	4
IT Asset Manager	4
IT Audit and Compliance Specialist	4
IT Business Analyst	4
IT Manager	4
IT Program Manager	4
IT Project Manager	4
IT Strategy Analyst	4

Designated Position	Disclosure Category
IT Vendor Manager	4
Printing Supervisor	6
Program Manager I	4
Scrum Master	4
Senior Business Intelligence Analyst	4
Senior Business Relationship Manager	3
Senior Business Systems Analyst	4
Senior Data Engineer	4
Senior Departmental Fiscal Officer	3
Senior DevOps Engineer	4
Senior Enterprise Architect	3
Senior Epic Systems Analyst	8
Senior Financial Analyst	3
Senior Geographic Information Systems Analyst	4
Senior Information Architect	3
Senior Infrastructure Engineer	4
Senior Integration Analyst	4
Senior IT Asset Manager	4
Senior IT Audit and Compliance Specialist	4
Senior IT Manager	4
Senior IT Project Manager	4
Senior IT Strategy Analyst	4
Senior IT Vendor Manager	4
Senior Network Engineer	4
Senior Software Asset Manager	4
Senior Solution Architect	3
Senior Systems Administrator	4

Designated Position	Disclosure Category
Senior Technology Architect	3
Senior Test Engineer	3
Software Asset Manager	4
Solution Architect	3
Technology Architect	3
Consultant	2

APPENDIX

CONFLICT OF INTEREST CODE OF THE COUNTY OF SANTA CLARA

EXHIBIT "B" DISCLOSURE CATEGORIES FOR TECHNOLOGY SERVICES AND SOLUTIONS

Pursuant to the County of Santa Clara's Conflict of Interest Code, Disclosure Categories 1 and 2 shall read as follows for all Code Agencies.

Disclosure Category 1: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County;

(b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 2: Each Consultant shall disclose: (1) all investments in, business positions in, and income (including gifts, loans and travel payments) from: (a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County; (b) all sources that are subject to the regulatory, monitoring, investigatory, enforcement, valuation, certification, permit or licensing authority of, or have an application for a license, permit or certificate pending before, the County; (c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County; and

sources that receive referrals to provide assessments and/or treatments that are required or recommended by the County; and (2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County, subject to the following limitation: the Code Agency for which a consultant works may determine in writing, following approval by County Counsel as to form and legality, that the particular consultant is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the full disclosure requirements described above, but instead must comply with more tailored disclosure requirements specific to that consultant. Such a determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements.

In addition to the above two categories, Technology Services and Solutions shall have the following categories:

Disclosure Category 3: Persons in this category shall disclose:

(1) all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(a) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Technology Services and Solutions Department;

(b) all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the County of Santa Clara;

(c) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (i) from or through the Technology Services and Solutions Department, or (ii) from or through the County related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications; and

(2) all interests in real property in the County of Santa Clara located entirely or partly within the County, or within two miles of County boundaries, or of any land owned or used by the County.

Disclosure Category 4: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Technology Services and Solutions Department;

(2) all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the County of Santa Clara; and

(3) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Technology Services and Solutions Department, or (b) from or through the County related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications.

Disclosure Category 5: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the Technology Services and Solutions Department; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies (a) from or through the Technology Services and Solutions Department, or (b) from or through the County related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications.

Disclosure Category 6: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from:

(1) all sources that provide, plan to provide, or have provided in the last two years, facilities, goods, software, hardware, or related technology, equipment, vehicles, machinery or services, including training or consulting services, to the County related to printing and copying services; and

(2) all sources that receive, are planning to apply to receive, or have received in the last two years, grants or other monies from or through the County related to printing and copying services.

Disclosure Category 7: RESERVED

Disclosure Category 8: Persons in this category shall disclose all investments in, business positions in, and income (including gifts, loans and travel payments) from all sources that provide facilities, goods, software, hardware, or related technology, equipment, machinery, or services, including training or consulting services, related to information systems, computers, networks, applications, technological infrastructures or architectures, or telecommunications of the type utilized by the County of Santa Clara Health System.